SEC Form 4

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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OMB Number:	3235-0287
Estimated average burg	den
hours per response:	0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

KOCH C JAMES		g Person [*]	2. Issuer Name and Ticker or Trading Symbol BOSTON BEER CO INC [SAM]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
			DOSTON DEEK CO IIVC [SAM]	X Director X 10% Owner					
		(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X Officer (give title Other (specify below)					
C/O THE BOSTON BEER COMPANY			12/08/2020	Chairman					
ONE DESIG	N CENTER PL	ACE, SUITE 850							
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
BOSTON	MA	02210		X Form filed by One Reporting Person					
·			—	Form filed by More than One Reporting Person					
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction Date (Instr. 3) 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially 6. Ownership Form: Direct Direct (D) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially 6. Ownership Form: Direct Direct (D) or Dir

	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 8)					Beneficially Owned Following	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Class A Common	12/08/2020		S ⁽¹⁾		90	D	\$925.62 ⁽²⁾	155,331 ⁽³⁾	D	
Class A Common	12/08/2020		S ⁽¹⁾		110	D	\$ 926.94 ⁽⁴⁾	155,221 ⁽³⁾	D	
Class A Common	12/08/2020		S ⁽¹⁾		400	D	\$927.43 ⁽⁵⁾	154,821 ⁽³⁾	D	
Class A Common	12/08/2020		S ⁽¹⁾		100	D	\$930.43	154,721 ⁽³⁾	D	
Class A Common	12/08/2020		S ⁽¹⁾		565	D	\$932.89(6)	154,156 ⁽³⁾	D	
Class A Common	12/08/2020		S ⁽¹⁾		722	D	\$933.78 ⁽⁷⁾	153,434 ⁽³⁾	D	
Class A Common	12/08/2020		S ⁽¹⁾		978	D	\$934.88 ⁽⁸⁾	152,456 ⁽³⁾	D	
Class A Common	12/08/2020		S ⁽¹⁾		270	D	\$935.84 ⁽⁹⁾	152,186 ⁽³⁾	D	
Class A Common	12/08/2020		S ⁽¹⁾		1,292	D	\$937.27(10)	150,894 ⁽³⁾	D	
Class A Common	12/08/2020		S ⁽¹⁾		1,598	D	\$938.09 ⁽¹¹⁾	149,296 ⁽³⁾	D	
Class A Common	12/08/2020		S ⁽¹⁾		575	D	\$939.05 ⁽¹²⁾	148,721 ⁽³⁾	D	
Class A Common	12/08/2020		S ⁽¹⁾		1,392	D	\$940.27(13)	147,329(3)	D	
Class A Common	12/08/2020		S ⁽¹⁾		700	D	\$941.2 ⁽¹⁴⁾	146,629(3)	D	
Class A Common	12/08/2020		S ⁽¹⁾		608	D	\$942.23(15)	146,021 ⁽³⁾	D	
Class A Common	12/08/2020		S ⁽¹⁾		300	D	\$943.2 ⁽¹⁶⁾	145,721 ⁽³⁾	D	
Class A Common	12/08/2020		S ⁽¹⁾		198	D	\$ 944.73 ⁽¹⁷⁾	145,523(3)	D	
Class A Common	12/08/2020		S ⁽¹⁾		102	D	\$945.4 ⁽¹⁸⁾	145,421 ⁽³⁾	D	
Class A Common								40,627	I	By Foundation managed by Reporting Person
Class A Common								33,248	I	By Descendant's Trust
Class A Common								23,488	I	Custodian for children under UGTMA
Class A Common								5,000	I	By Trust as Trustee

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) or Transaction Disposed Of (D) (Instr. 3, 4 and 5) Code (Instr. 8)		Transaction Disposed Of (D) (Instr. 3, 4 and 5) Securities Beneficially Owned Following		Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Class A Common								3,656	I	By spouse as custodian for children under UGTMA	
Class A Common								2,532	I	By spouse in trust for children	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D)	erivative curities cquired) or sposed (D) str. 3, 4		d 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Amount of Securities Jnderlying Derivative Security (Instr. 5) Security (Instr. 5)		Ownership Form:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on October 29, 2020.

2. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 90 shares is from \$925.13 to \$925.70. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

3. The shares reported include 343 shares of restricted stock subject to vesting conditions.

4. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 110 shares is from \$926.35 to \$927.00. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

5. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 400 shares is from \$927.36 to \$927.57. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

6. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 565 shares is from \$932.32 to \$933.24. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

7. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 722 shares is from \$933.52 to \$934.22. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

8. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 978 shares is from \$934.53 to \$935.52. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

9. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 270 shares is from \$935.53 to \$936.52. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

10. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 1,292 shares is from \$936.69 to \$937.68. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

11. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 1,598 shares is from \$937.69 to \$938.52. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

12. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 575 shares is from \$938.75 to \$939.52. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

13. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 1,392 shares is from \$939.82 to \$940.66. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

14. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 700 shares is from \$940.90 to \$941.38. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

15. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 608 shares is from \$941.99 to \$942.40. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

16. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 300 shares is from \$943.01 to \$943.43. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

17. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 198 shares is from \$944.24 to \$945.11. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

18. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 102 shares is from \$945.39 to \$945.99. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

Remarks:

Michael G. Andrews under POA for the benefit of Koch

12/09/2020

C. James

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.